

AFW



|   |    |                        |                      |
|---|----|------------------------|----------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) |    | Application Number     | 09/758,939           |
|   |    | Filing Date            | January 10, 2001     |
|   |    | First Named Inventor   | Jennifer Lu          |
|   |    | Art Unit               | 2627                 |
|   |    | Examiner Name          | Christopher R. Magee |
| Mail Stop   | AF | Attorney Docket Number | SJ09-2000-0158       |

**ENCLOSURES (Check all that apply)**

|   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> <b>No fee due</b><br><b>Fee Transmittal</b><br><input type="checkbox"/> Fee(s) due: \$ _____<br>Fee Transmittal<br><input type="checkbox"/> Check enclosed<br><input type="checkbox"/> Charge Deposit Account No. 18-0580<br><input type="checkbox"/> 37 CFR § 1.16<br><input type="checkbox"/> 37 CFR § 1.17<br><input checked="" type="checkbox"/> <b>The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 18-0580</b><br><input checked="" type="checkbox"/> <b>Return postcard</b><br><input checked="" type="checkbox"/> <b>Amendment/Response</b><br><input checked="" type="checkbox"/> <b>After Final</b><br><input type="checkbox"/> Affidavits/declaration(s) - _____ Affidavits/declaration(s)<br><input type="checkbox"/> ___-Month Extension of Time | <input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Information Disclosure Statement & PTO-Form 1449<br><input type="checkbox"/> Copies of cited reference(s)<br><input type="checkbox"/> Response to Missing Parts / Incomplete Application<br><input type="checkbox"/> Declaration(s) by Inventor(s)<br><input type="checkbox"/> Preliminary Amendment<br><input type="checkbox"/> Updated Application Data Sheet<br><input type="checkbox"/> Drawing(s) - ___ Sheets<br><input type="checkbox"/> Compact Disk(s) - ___ CD(s)<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Power of Attorney & Address Indication Form | <input type="checkbox"/> Revocation of & New Power of Attorney, Address Indication Form<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> After Allowance Communication to a Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Other Enclosure(s): |
|---|---|--|

**REMARKS**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                                      |  |           |                   |
|--------------------------------------|--|-----------|-------------------|
| Firm or Individual Name (print/type) | Flavio M. Rose, Reg. No. 40,791<br>Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C. | Telephone | (650) 251-7700    |
| Signature                            |  | Date      | February 12, 2007 |

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below

|                   |                   |
|-------------------|-------------------|
| Name (print/type) | Joe Clark         |
| Signature         |                   |
| Date              | February 12, 2007 |



# FEE TRANSMITTAL for FY 2006

Effective 10/01/03. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 0.00

## Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application Number   | 09/758,939           |
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| First Named Inventor | Jennifer Lu          |
| Examiner Name        | Christopher R. Magee |
| Group Art Unit       | 2627                 |
| Attorney Docket No.  | SJ09-2000-0158       |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

|                      |   |
|----------------------|---|
| Deposit Account No.  | 18-0580   |
| Deposit Account Name | Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C. |

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Charge any underpayment or credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING, SEARCH AND EXAMINATION FEES

| Large Entity |          | Small Entity |          | Fee Description                                 | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |          |
| 1001         | 790      | 2001         | 395      | Utility filing fee (filed on or before 12/8/04) |          |
| 1011         | 300      | 2011         | 150      | Utility filing fee (filed after 12/8/04)        |          |
| 1111         | 500      | 2111         | 250      | Search Fee                                      |          |
| 1311         | 200      | 2311         | 100      | Examination Fee                                 |          |
| 1081         | 250      | 2081         | 125      | For each additional 50 sheets exceeding 100     |          |

SUBTOTAL (1) \$

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 28                 | - 40** = 0   | x              |          |
| Independent Claims | 1            | - 4** = 0      | x        |
| Multiple Dependent |              |                |          |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 50       | 2202         | 25       | Claim in excess of 20                                      |
| 1201         | 200      | 2201         | 100      | Independent claims in excess of 3                          |
| 1203         | 360      | 2203         | 180      | Multiple dependent claim, if not paid                      |
| 1204         | 200      | 2204         | 100      | ** Reissue independent claims over original patent         |
| 1205         | 50       | 2205         | 25       | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity                      |          | Small Entity |          | Fee Description  | Fee Paid |
|-----------------------------------|----------|--------------|----------|--|----------|
| Fee Code                          | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051                              | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052                              | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053                              | 130      | 1053         | 130      | Non-English specification  |          |
| 1812                              | 2,520    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804                              | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                              | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251                              | 120      | 2251         | 60       | Extension for reply within first month                                     |          |
| 1252                              | 450      | 2252         | 225      | Extension for reply within second month                                    |          |
| 1253                              | 1,020    | 2253         | 510      | Extension for reply within third month                                     |          |
| 1254                              | 1,590    | 2254         | 795      | Extension for reply within fourth month                                    |          |
| 1255                              | 2,160    | 2255         | 1,080    | Extension for reply within fifth month                                     |          |
| 1401                              | 500      | 2401         | 250      | Notice of Appeal   |          |
| 1402                              | 500      | 2402         | 250      | Filing a brief in support of an appeal                                     |          |
| 1403                              | 1,000    | 2403         | 500      | Request for oral hearing   |          |
| 1451                              | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452                              | 500      | 2452         | 250      | Petition to revive - unavoidable   |          |
| 1453                              | 1,500    | 2453         | 750      | Petition to revive - unintentional   |          |
| 1501                              | 1,400    | 2501         | 700      | Utility issue fee (or reissue)   |          |
| 1502                              | 800      | 2502         | 400      | Design issue fee   |          |
| 1503                              | 1,100    | 2503         | 550      | Plant issue fee  |          |
| 1807                              | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                              | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021                              | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809                              | 790      | 2809         | 395      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810                              | 790      | 2810         | 395      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801                              | 790      | 2801         | 395      | Request for Continued Examination (RCE)                                    |          |
| 1802                              | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |
| 1814                              | 130      | 2814         | 65.00    | Statutory Disclaimer   |          |
| Other fee (specify)               |          |              |          |  |          |
| *Reduced by Basic Filing Fee Paid |          |              |          |  |          |
| SUBTOTAL (3)                      |          |              |          |  | \$       |

## SUBMITTED BY

| Name (Print/Type) | Flavio M. Rose | Registration No. (Attorney/Agent) | 40,791 | Telephone | (650) 251-7700    |
|-------------------|----------------|-----------------------------------|--------|-----------|-------------------|
| Signature         |                |                                   |        | Date      | February 12, 2007 |